PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Under the Paperwork Reduction Act of 199 Substitute for form 1449/PTO	35, no persons are required to	o respond to a collection of information unless it contains a valid OMB control number  Complete if Known		
			Application Number	10/825,894	
	INFORMATION DIS	CLOSUBE	Filing Date	4/15/2004	
			First Named Inventor	Zeng et al.	
	STATEMENT BY A		Art Unit		
	(Use as many sheets as n	ecessary)	Examiner Name		
<u> </u>	neet 1 of	1	Attorney Docket Number	MS1 - 1890US	

			U. S. PATENT	DOCUMENTS	
Examiner, Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JL		US- 20030065632	4/3/2003	Hubey, Haci-Murat	
JL		<sup>US-</sup> 20030110181	6/12/2003	SCHUETZE, HINRICH; et al.	
JL		US- 20030233370	12/18/2003	Barabas, Albert B.; et al.	
JL		US-5,297,042	3/22/1994	Morita	
JL		<sup>US-</sup> 5,812,134	9/22/1998	Pooser, et al.	,
JL		<sup>US-</sup> 6,742,003	5/25/2004	Heckerman, et al.	
		US-			
		US-			
		US-			
	·	US-			
		US-			
		US			
		US-			<del></del>
		US-			
		US-			
		US-			<u> </u>
		US-			
		US→ .			
		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>8</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°		
						┝		
						┢		
	1	· ·						

Examiner Signature	/Jessica Le/	Date Considered	10/10/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments of the amount of time you require to complete this form and/or suggestions for reducing this tay requires to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND.

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2. . .

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number	10825894
INCODE TION DIOCE COURS	Filing Date	2004-04-15
INFORMATION DISCLOSURE	First Named Inventor Hua-	Jun Zeng
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit	
	Examiner Name	
	Attorney Docket Number	MS1-1890US

U.S.PATENTS										
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue (	Date	Name of Patentee or Applicant of cited Document  Pages, Columns, Lines we Relevant Passages or Figures Appear		vant Passages or Relev		
	1									
If you wis	h to a	dd additional U.S. Pate	nt citatio	n inform	nation pl	ease click the	Add button.	L	· · · · · · · · · · · · · · · · · · ·	
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS		,	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ation	of cited Document Releva		rages,Columns,Lines where televant Passages or Relevant igures Appear		
	1									
If you wis	h to a	dd additional U.S. Publi	shed Ap	plication	n citation	n information	olease click the Add	butto	n.	
				FOREIG	GN PAT	ENT DOCUM	IENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code4	Publication Date	Name of Patentee Applicant of cited Document	e or	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T5
JL	1	EP0809197								
If you wish to add additional Foreign Patent Document citation information please click the Add button										
NON-PATENT LITERATURE DOCUMENTS										
Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item							<b>T</b> 5		

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10825894	
Filing Date		2004-04-15	
First Named Inventor	Hua	-Jun Zeng	· · · · · · · · · · · · · · · · · · ·
Art Unit			
Examiner Name			•
Attorney Docket Numb	er	MS1-1890US	

JL	1	Attardi, G. et al.: "Automatic web Page Categorization by Link and context Analysis" Proceedings of THIA, European Symposium on Intelligence, 1999.  *the whole document*							
JL	2		Brin S et al: "The anatomy of a large-scale hypertextual web search engine" Computer Networks and ISDN Systems, North Holland Publishing. Amsterdam, NL, Vol. 30, No. 1-7, April 1998, Pages 107-117.						
JL	3	20th A	Harmandas, V. et al. "Image Retrieval by Hypertext Links" Association For Computing Machinery. Proceedings of the 20th Annual INternational ACM-SIFIR Conference on Research and Development in INformation Retrieval. Philadelphia, PA, July 27-31, 1997, Pages 296-303.						
JL	4	Smith, J.R. et al.: "An Image and Video Search Engine for the World-Wide Web" Storage and retrieval for image and video databases 5. San Jose, Feb. 13-14, 1997, Proceedings of SPIE, Bellingham, SpIE, US, Vol. 3022, pages 84-95.							
JL	5	Westerveld, T. et al: "Retriving Web Pages using Content, Links, URLs and Anchors" Test Retrieval Conference. Proceedings, XX, XX, 13, November 2001,pages 663-672.							
If you wis	h to a	dd addit	tional non-patent literature docu	ment citation information	please click the Add I	outton			
			E)	KAMINER SIGNATURE					
Examiner	Signe	ture	/Jessica Le/		Date Considered	10/10/2006			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.									

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known				
Application Number	10/825,894			
Filing Date	4/15/2004			
First Named Inventor	Hua-Jun Zeng et al.			
Art Unit				
Examiner Name				
Attornov Docket Number	1900116			

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (of Annount)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JL		US- 6,169,986	1/2/2001	Bowman, et al.	
		US-			
	· · · · · ·	US-			
•		US-	<del></del>		
		US-			
		US-			
·····		US-			
		US-			*
		US-			<u> </u>
		US-			
		US-		<u> </u>	
	<u> </u>	US-			
		US-	<del></del>	1	

		FORE	IGN PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY			T⁰
JL		EP 1320042	6/18/2003	Overture Services, Inc.		
	L					
	<b> </b>					L
	<u> </u>					├
	<u> </u>		<del></del>			┡
		<u> </u>	1	1	1	<u> </u>

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached

Trainstation is antached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
b a collection of information unless it contains a valid OMB control number.

	te for form 1449/PTO				Complete if	Known	
Substitut	(8101101III 1443/F10			Application Number	10/825,894		
INFO	ORMATIO	N DIS	CLOSURE	Filing Date	4/15/2004		
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Hua-Jun Ze	eng et al.	
	///			Art Unit			·
	(Use as many sh	18813 83 11	ecessary)	Examiner Name		······································	
Sheet	2	of	2	Attorney Docket Number	MS1 -	1890US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
JL		Raghavan et al, "On the Reuse of Past Optimal Queries", SIGIR '95, Proceedings of the 18th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval, July 9, 1995, pp. 344-350.	
JL		KIM et al, "A Comparison of Collocation-Based Similarity Measures in Query Expansion", Information Processing & Management, Elsevier Science Ltd, vol. 35, No. 1, January 1999 pp. 19-30.	
JL		QIU et al. "Concept Based Query Expansion", SIGIR Forum, Association for Computing Machinery, New York, June 27, 1993, pp. 160-169.	
JL		Beeferman D. & Berger A. "Agglomerative clustering of a search engine query log" Proceedings of the ACM SIGKDD. International conference on Knowledge Discovery and Data Mining, ACM, US, 2000, pages 407-416, XP002339640	
JL		Srivastava et al. "Discovery and Applications of Usage Patterns from Web Data" Sigkdd Explorations, January 2000 (2000-20001); pages 12-23, XPoo2241892 "the whole document"	

I Examiner		Date	
1	/Jessica Le/	1 2000 1 10/10/000	
Signature	/Jessica Le/	Considered 10/10/200	0 1
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

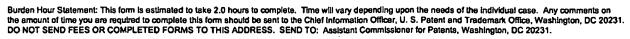
Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	Substitu	te for form 1449B/PT	0		Co	emplete if Known
EP 0 9 2004 g	•		_		Application Number	10/825,894
્દ	INFO	DRMATIO	N C	ISCLOSURE	Filing Date	April 15, 2004
MADEMART	STA	TEMENT	BY	APPLICANT	First Named Inventor	Zeng
TRADEBI	•			7.11	Group Art Unit	
,		(use as many s	sheet	s as necessary)	Examiner Name	
	Sheet	1	of	1	Attorney Docket Number	MS1-1890US

	Τ.	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	
Examiner Initials	Cite No.1	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	L <sub>3</sub>
JL		SLATTERY S. et al.; "Combining Statistical and Relational Methods for Learning in Hypertext Domains" Lecture Notes in Computer Science, 1998, pgs 38-52	
_			
			_
			_
Examine Signature		/Jessica Le/ Date Considered 10/10/2	006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



AUG 0 2 2004 G

Substitute for form 1449B/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2

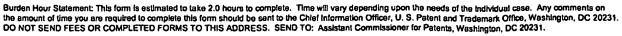
Co	emplete if Known
Application Number	10/825,894
Filing Date	April 15, 2004
First Named Inventor	Zeng
Group Art Unit	2171
Examiner Name	
Attorney Docket Number	MS1-1890US

		NON PATENT LITERATURE DOCUMENTS	
Examiner tnitiats	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
JL		CHAKRABARTI S."Data Mining for Hypertext: A tutorial survey" SIGKDD Explorations vol 1 issue 2, January 2000, 11 pages	
JL		COHN et al.; "The Missing Link - A Probabilistic Model of Document Content and Hypertext Connectivity" Proceedings of Neural Information Processing Systems, 2001, 7 pages	
JL		DHILLON et al.; "Efficient Clustering of Very Large Document Collections" Data Mining,for Scientific and Engineering Applications, Chapter 1, Kluwer Academic Publishers, 2001, pages 1-25	
JL		LIU et al.; "Clustering Through Decision Tree Construction" 9th International Conference on Information and Knowledge Management, 2000, 10 pages	
JL		KLEINBERG J. M.; "Authoritative Sources in a Hyperlinked Environment" Proceedings of the ACM- SIAM Symposium on Discrete Algorithms, 1998, 34 pages	
JL		HEER et al.; "Identification of Web User Traffic Composisiton using Multi-Modal Clustering and Information Scent" 1st SIAM ICDM Workshop on Web Mining. Chicago 2001. 13 pages	
JL		GIBSON et al.; "Inferring Web Communities from Link Topology" Proceedings of the 9th ACM Conference on Hypertext and Hypermedia,1998, 17 pages	
JL		NEVILLE et al; "Iterative Classification in Relational Data" Proceedings AAAI-2000 Workshop on Learning Statistical Models form Relational Data, AAAI Press 2000, pages 42-49	
JL		STEINBACH et al.; "A Comparison of Document Clustering Techniques" 6th ACM SIGKDD World Text Mining Conferece Boston, 2000, 2 pages	
JL		SU et al.; "Correlation-based Document Clustering using Web Logs" Proceedings of the 34th Hawaii International Conference on Sytem Sciences, 2001, 7 pages	
JL		TASKAR et al.; "Probabilistic Classification and Clustering in Relational Data" Proceedings of the 34th Hawaii International Conference on System Sciences. 2001. 7 pages	

1 /Toggigs To/	Date Considered	10/10/2006
----------------	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English tanguage Translation is attached.



						1	
Please type	a plus	sign (4	·) inside	this	box	→	+

(use as many sheets as necessary)

of 2

					Substitute for form 1449B/PTO
<b>-</b> 2	AUG	0	2	2004	INFORMATION DISCLOSURE STATEMENT BY APPLICANT

2

Co	omplete if Known	
Application Number	10/825,894	
Filing Date	April 15, 2004	
First Named Inventor	Zeng	
Group Art Unit	2171	
Examiner Name		
Attorney Docket Number	MS1-1890US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
JL		UNGER et al.; "Clustering Methods for Collaborative Filtering" In Workshop on Recommendation System at the 15th National Conference on Artificial Intelligence, 1998, 16 pages	
JL		WEN et al.; "Query Clustering Using User Logs" ACM Transactions on Information Systems vol 20 no 1; January 2002, pages 59-81	
JL		ZENG et al; "A Unified Framework for Clustering Heterogeneous Web Objects" Proceedings of the 3rd international Conference of Web Information System Engineering, Singapore 2002, 10 pages	
JL		"Open Directory Project" http://dmoz.org/ Netscape 1998-2004 1 page	
JL		BERKHIN P.; "Survey of Clustering Data Mining Techniques" Accrue Software Inc.; 2002 pgs 1-56	
JL		YANG et al.; "A Comparative Study on Feature Selection in Text Categorization" Proceedings of the Fourteenth International Conference on Machine Learning, Morgan Kaufmann Publishers Inc., San Francisco 1997, Pages: 412 - 420	

/ 00000000 20/	Date Considered	10/10/2006
----------------	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.